



ADV PART 2B BROCHURE

THOMAS GREGORY MILLER, LUTCF®
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This Form ADV Part 2B ("Brochure Supplement") provides information about the background and qualifications of Thomas Gregory Miller (CRD #4718198). The information in this brochure supplements the Part 2A brochure of Professional Planning & Wealth, LLC (hereinafter "ProPlan" or "firm"). You should have received a copy of that brochure. Please contact our Chief Compliance Officer at 864.626.4380 or jesse.hansford@proplanwealth.com if you did not receive ProPlan's Part 2A brochure or have any questions about the contents of this supplement. Additional information about Mr. Miller is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

THOMAS “GREG” MILLER, LUTCF®

- CRD #: 4718198
- YEAR OF BIRTH: 1977

EDUCATIONAL BACKGROUND:

- 2000: University of Tennessee; BS, Hotel & Restaurant Administration

BUSINESS BACKGROUND:

- 09/2026 – Present: Professional Planning & Wealth, LLC; Investment Advisor Representative
- 01/2025 – 09/2026: Osaic Advisory Services, LLC; Investment Advisor Representative
- 06/2021 – 01/2025: Financial Partners Group, LLC; Investment Advisor Representative
- 03/2012 – 06/2021: MML Investors Services, LLC; Registered Representative

PROFESSIONAL DESIGNATIONS:

- LIFE UNDERWRITER TRAINING COUNCIL FELLOW (LUTCF®) ¹

LIFE UNDERWRITER TRAINING COUNCIL FELLOW (“LUTCF®”) DESIGNATION MINIMUM QUALIFICATIONS¹

The Life Underwriter Training Council Fellow (LUTCF®) designation is awarded by the National Association of Insurance and Financial Advisors (“NAIFA”). The LUTCF® designation is voluntary. No federal or state law or regulation requires financial professionals to hold the LUTCF® designation. To earn the LUTCF® designation, an individual must fulfill the following requirements:

Education – Complete the three courses that make up the LUTCF® education program, covering the advising process, risk management, and life insurance; investment and protection products; and wealth management, retirement planning, and estate planning.

Examination – Pass an online test at the end of each of the three courses with a score of 80% or higher, and pass a proctored final examination.

Experience – NAIFA does not impose a minimum professional experience requirement for the LUTCF® designation.

Ethics – Be a member in good standing of NAIFA at the time the designation is conferred and agree to adhere to the NAIFA Code of Ethics.

To maintain the LUTCF® designation, designees must renew their authorization every three years by completing a renewal application and reporting continuing education, including at least three hours of ethics continuing education during each three-year authorization period.

ITEM 3: DISCIPLINARY INFORMATION

Registered investment advisers must disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Mr. Miller has no history of any legal or disciplinary events deemed material to a client's consideration of Mr. Miller to act as their investment adviser representative. FINRA's BrokerCheck® system and the Investment Adviser Public Disclosure ("IAPD") system provide additional information regarding the registration and disciplinary history of Mr. Miller. Please visit FINRA's BrokerCheck® system at <https://brokercheck.finra.org> or the IAPD system at www.adviserinfo.sec.gov for additional information.

ITEM 4: OTHER BUSINESS ACTIVITIES

Additional information regarding ProPlan's other financial industry activities and affiliations is provided in Item 10 of ProPlan's Form ADV Part 2A.

LICENSED INSURANCE AGENT

Mr. Miller is a licensed insurance agent. He conducts his insurance business as a sole proprietor under the name Thomas G. Miller, separate and apart from his role with ProPlan. As an insurance professional, Mr. Miller will receive customary commissions and related revenues from the insurance companies whose products he sells. Mr. Miller is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest because Mr. Miller has an incentive to recommend insurance products based on the compensation he will receive rather than solely on a client's needs. ProPlan addresses this conflict by disclosing it to clients and by requiring Mr. Miller to act in each client's best interest when providing advice. Clients are not obligated to implement any recommendations made by Mr. Miller and may purchase insurance products through any agent or insurance company of their choosing. Mr. Miller spends approximately 10 hours per month in this capacity, including approximately 10 hours during securities trading hours.

ITEM 5: ADDITIONAL COMPENSATION

Mr. Miller does not receive any economic benefit, including sales awards or other prizes, from any person who is not a client for providing advisory services. Compensation Mr. Miller receives in connection with the sale of insurance products is described in Item 4 above.

ITEM 6: SUPERVISION

Mr. Miller is supervised through a compliance program designed to prevent and detect federal and state securities law violations. This compliance program is overseen by Jesse Hansford, the Chief Compliance Officer of Professional Planning & Wealth, LLC. As Chief Compliance Officer, Jesse Hansford reviews the firm's policies and procedures at least annually for their adequacy and the effectiveness of their implementation.

Jesse Hansford can be reached at 864.626.4380 or jesse.hansford@proplanwealth.com.